# DME: a full encryption, signature and KEM multivariate public key cryptosystem 

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\begin{gathered}
\text { PQCrypto 2023, College Park, MD } \\
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\end{gathered}
$$

## Outline

(1) Exponential maps
(2) The old and new DME
(3) DME setting
(4) Public Key
(5) Reduction of monomials
(6) DME for KEM and Signature
(7) Security of DME
(8) Timings

## Exponential maps

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Given matrix $A=\left(a_{i j}\right) \in M_{n \times n}\left(\mathbb{Z}_{q-1}\right)$ one can define an exponential map (called monomial in algebraic geometry)
$F_{A}: \mathbb{F}_{q}{ }^{n} \rightarrow \mathbb{F}_{q}{ }^{n}$ given by
$F_{A}\left(x_{1}, \ldots, x_{n}\right)=\left(x_{1}, \ldots, x_{n}\right)^{A}=\left(x_{1}^{a_{11}} \cdot \ldots \cdot x_{n}^{a_{1 n}}, \ldots, x_{1}^{a_{n 1}} \cdot \ldots \cdot x_{n}^{a_{n n}}\right)$ and satisfying $F_{B} F_{A}=F_{B \cdot A}$

## Proposition

If $A=\left(a_{i j}\right)$ is invertible in $M_{n \times n}\left(\mathbb{Z}_{q-1}\right)$ i.e. $\operatorname{gcd}(\operatorname{det}(A), q-1)=1$, then $F_{A}$ is invertible on $\left(\mathbb{F}_{q} \backslash\{0\}\right)^{n}$ and the inverse of $F_{A}$ is given by $F_{A^{-1}}$

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## DME - NIST PQC 2017

DME (double matrix exponentiation)

- The public key of the original DME, is a map $F: \mathbb{F}_{q}^{2 m} \rightarrow \mathbb{F}_{q}^{2 m}$ obtained as composition of linear maps and two exponential maps over $\mathbb{F}_{q^{2}}$ and $\mathbb{F}_{q^{m}}$.
- DME was presented in 2017 to the KEM category (with $m=3$ ) of the NIST call and was broken by Avendaño and Marco (Finite Fields and Their Appl. 71, 2021). Their attack only works for $m$ odd.
- Beullens propose an decomposition attacks to the polynomials $\tilde{F}$ obtained by Weil's descent.


## DME - NIST PQC 2023

The main new characteristics of the new scheme DME are:

- We use $r>2$ exponentials over the same field $\mathbb{F}_{q^{2}}$.
- A procedure for the (drastic) reduction of the number of monomials
- The linear components can have translations. This fact may produce failure of decryption or invalid signatures.
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## DME setting

The setting for $\operatorname{DME}\left(r, 8,2^{e}\right)$ cryptosystem is:
Let $h(u)=u^{2}+a u+b \in \mathbb{F}_{q}[u]$ be a fixed irreducible polynomial, and $\mathbb{F}_{q^{2}}=\mathbb{F}_{q}[u] /\langle h(u)\rangle$ and $\phi: \mathbb{F}_{q}^{2} \rightarrow \mathbb{F}_{q^{2}}$ be the corresponding isomorphism.
Let $\bar{\phi}: \mathbb{F}_{q}^{8} \rightarrow\left(\mathbb{F}_{q^{2}}\right)^{4}$ be the map

$$
\left(x_{1}, \ldots, x_{8}\right) \mapsto\left(\phi\left(x_{1}, x_{2}\right), \phi\left(x_{3}, x_{4}\right), \phi\left(x_{5}, x_{6}\right), \phi\left(x_{7}, x_{8}\right)\right)
$$

Each linear+affine map $L_{i}$ is made up of four linear maps $L_{i 1}, \ldots, L_{i 4}: \mathbb{F}_{q}^{2} \rightarrow \mathbb{F}_{q}^{2}$ and four vectors $a_{i 1}, \ldots, a_{i 4} \in \mathbb{F}_{q}^{2}$.
The $\operatorname{DME}\left(r, 8,2^{e}\right)$ scheme combines $r+1$ linear+affine maps $L_{0}, \ldots, L_{r}: \mathbb{F}_{q}^{8} \rightarrow \mathbb{F}_{q}^{8}$ with $r$ exponential maps $F_{E_{1}}, \ldots, F_{E_{r}}:\left(\mathbb{F}_{q^{2}}\right)^{4} \rightarrow\left(\mathbb{F}_{q^{2}}\right)^{4}$ as follows:

## DME encryption map

$$
\begin{aligned}
& \mathbb{F}_{q}^{8} \xrightarrow{L_{0}} \mathbb{F}_{q}^{8} \xrightarrow{\bar{\phi}}\left(\mathbb{F}_{q^{2}}\right)^{4} \xrightarrow{F_{E_{1}}}\left(\mathbb{F}_{q^{2}}\right)^{4} \\
\longleftrightarrow & \mathbb{F}_{q}^{8} \xrightarrow{L_{1}} \mathbb{F}_{q}^{8} \xrightarrow{\bar{\phi}}\left(\mathbb{F}_{q^{2}}\right)^{4} \xrightarrow{\bar{F}_{E_{2}}}\left(\mathbb{F}_{q^{2}}\right)^{4} \\
\longleftrightarrow & \mathbb{F}_{q}^{8} \xrightarrow{L_{2}} \mathbb{F}_{q}^{8} \xrightarrow{\bar{\phi}}\left(\mathbb{F}_{q^{2}}\right)^{4} \xrightarrow{\bar{F}_{E_{3}}}\left(\mathbb{F}_{q^{2}}\right)^{4} \\
\longleftrightarrow & \mathbb{F}_{q}^{8} \xrightarrow{L_{3}} \mathbb{F}_{q}^{8} \xrightarrow{\bar{\phi}}\left(\mathbb{F}_{q^{2}}\right)^{4} \xrightarrow{\bar{F}_{E_{4}}}\left(\mathbb{F}_{q^{2}}\right)^{4} \\
\longrightarrow & \mathbb{F}_{q}^{8} \xrightarrow{\bar{L}_{4}} \mathbb{F}_{q}^{8}
\end{aligned}
$$

## DME Public Key

The rows of the matrices $E_{i}$ have 1 or 2 non zero entries that are powers of 2 .

The number of monomials can be up to double exponential in the number of round $r$. For instance if each row of $E_{i}$ has 2 non zero entries then each component has $2^{2^{r}}$ monomials.

The lists of monomials and the list of coefficients of the components $F_{r i}$ can be computed very efficiently as follows:

$$
\begin{aligned}
F_{i, 2 j-1}+\bar{u} F_{i, 2 j} & =M_{i j} \cdot C_{i j} \cdot(1, \bar{u})^{t} \\
\left(F_{i, 2 j-1}+\bar{u} F_{i, 2 j}\right)^{\alpha} & =M_{i j}^{\alpha} \cdot C_{i j}^{\alpha} \cdot\left(1, \bar{u}^{\alpha}\right)^{t}
\end{aligned}
$$

Applying the mixed-product property of the Kronecker product

$$
\begin{aligned}
\left(F_{i, 2 j-1}+\bar{u} F_{i, 2 j}\right)^{\alpha} & \cdot\left(F_{i, 2 k-1}+\bar{u} F_{i, 2 k}\right)^{\beta} \\
& =\left(M_{i j}^{\alpha} \otimes M_{i k}^{\beta}\right) \cdot\left(C_{i j}^{\alpha} \otimes C_{i k}^{\beta}\right) \cdot\left(1, \bar{u}^{\beta}, \bar{u}^{\alpha}, \bar{u}^{\alpha+\beta}\right)^{t}
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## Reduction of monomials

For $i>1$ the list of monomials $M_{(i+1) I}=\left(M_{i j}^{\alpha} \otimes M_{i k}^{\beta}\right)$ can be reduced if $M_{i j}$ and $M_{i k}$ have a variable in common say $x_{1}$ and let $x_{1}^{2_{1}} \cdot m_{1}$ and $x_{1}^{2 / 2} \cdot m_{2}$ the monomials with $x_{1}$ in both lists.
Let $\alpha=2^{l_{1}}$ and $\beta=2^{l_{2}}$ then $M_{(i+1)!}$ has 2 monomials with terms $x_{1}^{e_{1}+l_{1}}$ and $x_{1}^{e_{2}+l_{2}}$.

Making $I_{2}=e_{1}+I_{1}-e_{2}$ will produce 2 equal monomials.
Example: For this example, we take $q=2^{e}, n=6$ and following matrices over $\mathbb{Z}_{q^{2}-1}$ :
$E_{1}=\left(\begin{array}{ccc}\alpha_{1,1} & 0 & \alpha_{1,2} \\ \alpha_{1,3} & \alpha_{1,4} & 0 \\ 0 & 0 & \alpha_{1,5}\end{array}\right) \quad E_{2}=\left(\begin{array}{ccc}\alpha_{2,1} & \alpha_{2,2} & 0 \\ 0 & \alpha_{2,3} & \alpha_{2,4} \\ \alpha_{2,5} & 0 & \alpha_{2,6}\end{array}\right) \quad E_{3}=\left(\begin{array}{ccc}\alpha_{3,1} & 0 & \alpha_{3,2} \\ \alpha_{3,3} & \alpha_{3,4} & 0 \\ 0 & \alpha_{3,5} & \alpha_{3,6}\end{array}\right)$
The final lists $\left(M_{31}, M_{32}, M_{33}\right)$ have size $\left(2^{7}, 2^{7}, 2^{6}\right)$ and applying the above procedure after the sizes are $(32,36,24)$.

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Let $\alpha=2^{h_{1}}$ and $\beta=2^{l_{2}}$ then $M_{(i+1)!}$ has 2 monomials with terms $x_{1}^{e_{1}+l_{1}}$ and $x_{1}^{e_{2}+l_{2}}$.

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## DME as a permutation

Theorem
If the linear components $L_{i}$ of $F$ do not have affine translations then the public key map $F:\left(\mathbb{F}_{q^{2}} \backslash\{0\}\right)^{4} \rightarrow\left(\mathbb{F}_{q^{2}} \backslash\{0\}\right)^{4}$ is a permutation.

In the current version we allow affine translations $L_{i}$ that can produce failure of decryption or invalid signature with a probability of around $\left(1 / q^{2}\right)$.

## DME for KEM and Signature

We use the DME permutation to build an RSA like scheme using as random padding the standards OAEP for PKE and KEM and PSS00 for signature whose security is well understood.

## DME-Sign

For the signature one has to compute $F^{-1}(\operatorname{pad}(m s g))$ and invalid signatures can be avoided as follows:
The translations in $L_{i}^{-1}$ can produce at some step one 0 that and give vector outside of $\left(\mathbb{F}_{q^{2}} \backslash\{0\}\right)^{4}$, if this happens we start again with a new PSS padding pad(msg).

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## Security of DME

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- Gröbner basis.
- Weil's descent
- Structural Cryptanalysis

Configuration Matrices (CM) as a list of matrices for the exponentials where the non zero entries are substituted by $1 . \mathcal{C M}=\left[E_{r}^{*}, \ldots E_{1}^{*}\right]$, $E^{*}=E_{r}^{*} \cdots E_{1}^{*}$. Let $t_{k}$ be the sum of the entries in the $k$-th row of $E^{*}$ then $t_{k}$ is the degree of the components obtained by Weil's descent.

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E^{*}=E_{3}^{*} \cdot E_{2}^{*} \cdot E_{1}^{*}=\left(\begin{array}{lll}
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## Gröbner basis

If $F(\underline{x})=\underline{y}$ we have to consider the ideal
$I=\left\langle f_{1}(\underline{x})-y_{1}, \ldots, f_{n}(\underline{x})-y_{n}, x_{1}^{2^{e}}-x_{1}, \ldots, x_{n}^{2^{e}}-x_{n}\right\rangle$
Let $s d(I)$ be the solving degree of $I$ :

$$
\binom{n+s d(I)}{n}^{\omega} \quad(*)
$$

- $s d(I)$ is bounded below be degree of the initial basis $I$. Since $x_{n}^{2^{e}}-x_{n} \in I, \operatorname{sd}(I)$ is bounded below by $2^{e}$.
- For $n=8$ and $q=2^{64}\left({ }^{*}\right)$ gives $O\left(2^{1024}\right)$
- Limited experimental evidence: Magma with 512 Gb of RAM can not solve $/$ for $e>4$


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## Structural Cryptanalysis

On can try to get the components of $F$ starting with the last linear component $L_{r}$ as follows:
$F_{i+1,2 l-1}+\bar{u} F_{i+1,2 l}=\left(F_{i, 2 j-1}+\bar{u} F_{i, 2 j}\right)^{\alpha} \cdot\left(F_{i, 2 k-1}+\bar{u} F_{i, 2 k}\right)^{\beta}$
$=\left(\sum B_{i} m_{i}\right) \cdot\left(\sum C_{j} n_{j}\right)=\sum B_{i} C_{j} m_{i} n_{j}=\sum H_{i j} m_{i} n_{j}$
The relations $H_{i j}=Q_{i j}(B, C)=\sum B_{k} C_{l}$, can be used to get homogeneous implicit equations for the $H_{i j}$ that will give us (homog.) equations for the unknown entries of the matrices $L_{r k}^{-1}$ that can be solved up to a multiplicative constant $\lambda_{k} \in \mathbb{F}_{q}$, and given $\left(\lambda_{1}, \ldots, \lambda_{4}\right) \in \mathbb{F}_{q} \backslash\{0\}$

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## Weil's descent

Weil's descent: The polynomial of $F$ can be converted in polynomials $\tilde{F}$ in ne variables over $\mathbb{F}_{2}$.
W. Beullens proposed in 2018 to apply the decomposition algorithm of Fauguere-Perret for original DME. In that case $\tilde{F}$ is the composition of two quadratic polynomials but the algorithm works only for generic polynomials.

We decide to add more rounds to DME in order increase the degree of $\tilde{F}$. In the example with 3 rounds the degree of the components before the reduction of monomials are $(7,7,6)$ and after reduction is $(5,6,5)$.

## Timings for DME-Sign

|  | NSL | KeyGen | Sign | Verify | PKey | Skey | Signature |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| dme-4r-8v-64b-pss | 5 | 4609827 | 222307 | 55484 | 4843 | 675 | 64 |
| dme-3r-8v-64b-pss | 5 | 1953078 | 182009 | 40197 | 2793 | 542 | 64 |
| dilithium2 | 2 | 169935 | 238597 | 147235 | 1312 | 2544 | 2420 |
| dilithium5 | 5 | 319828 | 617804 | 337222 | 2492 | 4880 | 4595 |
| falcon1024dyn | 5 | 78644060 | 2080846 | 310257 | 1793 | 2305 | 1330 |
| sphincsf256shake256robust | 5 | 23130618 | 530274683 | 25373313 | 64 | 128 | 49216 |

Figure: Average CPU cycles for SIGN as measured by SuperCop on an Intel(R) Core(TM) i7-1165G7 @ 2.80GHz (message length $=93$ bytes)

## Timings for different finite fields

| finite field | $2^{32}$ | $2^{48}$ | $2^{64}$ |
| :---: | :---: | :---: | :---: |
| dme-keypair | 121 usec | 262 usec | 251 usec |
| dme-sign | 19 usec | 35 usec | 41 usec |
| dme-open | 9 usec | 11 usec | 12 usec |
| private key | 369 bytes | 545 bytes | 721 bytes |
| public key | 1449 bytes | 2169 bytes | 2889 bytes |

Figure: Timings and key sizes for the DME signature scheme with 3 rounds and 8 variables. The message length is 100 bytes.

## more security?

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